

*Fund Action* and *Fund Direction* present...  
**The 13<sup>th</sup> Annual Mutual Fund Industry Awards**  
**March 2nd, 2006**  
**Ritz-Carlton Battery Park, NY, NY, USA**



*-Cocktail Reception, Charity Auction, Dinner, Entertainment and Awards-*

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For the past 12 years, the highly-coveted **Mutual Fund Industry Awards** have been bestowed upon the fund leaders, marketers and trustees who have made significant impacts on the fund industry.

Nominees for these annual awards are selected by the editors of *Fund Action* and *Fund Directions* –the leading publications covering the U.S. mutual fund industry – based on their extensive industry-wide due-diligence. The editors draw on their own market intelligence as well as consider input from readers and industry leaders when making their selections.

On March 2nd, 2006, nominees will be honored and winners will be awarded at an annual black-tie gala at The Ritz-Carlton, Battery Park, New York City. This awards dinner brings together the many professionals in the mutual fund industry to recognize and celebrate the achievements of their peers.

Last year's event hosted over 325 leaders and luminaries from the mutual fund industry and helped to raise money for The Children's Village, the chosen charity for the 2005 awards. This year's charity, Little Kids Rock, [www.littlekidsrock.org](http://www.littlekidsrock.org), provides free musical instruments and music instruction to children at public schools that have no funded music programs.

To view last year's nominees, winners and pictures from the awards dinner, visit <http://www.iinews.com/site/MFA05/index.asp?LS=2005mfawards>

For professionals and companies in the mutual fund industry, this is an event not to be missed. Sponsoring the Mutual Fund Industry Awards is an excellent way for your company to strengthen its brand with leaders in the mutual fund industry and reinforce its relationships with existing clients.

### **2006 Award Categories**

Nominees were announced in the January 2, 2006 issue of *Fund Directions* and in the January 9 issue of *Fund Action* for the following categories:

#### ***Fund Action* Award Categories and Nominees:**

##### **Fund Leader of the Year:**

John DesPrez, President and CEO, Manulife's John Hancock Financial Services  
J.C. Massar, President, Capital International Asset Management and Chairman, Capital International Financial Services  
George Gatch, President & CEO, JPMorgan Funds  
Raymond "Chip" Mason, Chairman and CEO, Legg Mason and Mark Fetting, President, Legg Mason Asset Management, and Executive VP of Legg Mason

##### **Fund Marketer of The Year:**

Bruce Bond, President, PowerShares Capital Management  
Jeff Carney, President of Personal Investments, Fidelity Investments  
Hugh Kelly, Executive VP of Sales and Marketing, HCA Securities  
Merilyn Kosier, Executive VP of Mutual Fund Marketing and Investor Services, Ariel Capital Management

Institutional Investor, 225 Park Avenue South, New York, NY 10003  
[www.iievents.com](http://www.iievents.com)

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**Deal Of The Year:**

Aberdeen Asset Management/Deutsche Bank AG  
Legg Mason/Citigroup  
Pioneer Investment Management/AmSouth Bancorp

**Sales Success:**

AllianceBernstein Investment Research and Management's Wealth Strategies Funds  
Evergreen Investments' Evergreen Asset Allocation Fund  
MFS Investments' MFS International Diversification Fund  
State Street Global Advisors' streetTRACKS Gold Shares

**Advertising Campaign of the Year:**

Charles Schwab & Co.  
Fidelity Investments  
Janus Capital Group

**Separately Managed Accounts Player of the Year:**

Janet Mariconti, Chair of the CFA Institute Liaison Committee and SVP, Managed Accounts Consulting Group, Prudential Investments  
John Morris, Senior VP of Managed Accounts, Charles Schwab & Co.  
Glenn Regan, CIO, Smith Barney Consulting Group  
Larry Sinsimer, Managing Director of Managed Accounts, Eaton Vance

**Lifetime Achievement Award Winner**

Michael Price, President, The Michael F. Price Foundation, Managing Partner of MFP Investors LLC and Director of Liquidnet Holdings.

**Fund Directions Award Categories and Nominees:**

**Trustee of the Year:**

Clayton Yeutter, Independent Chairman, OppenheimerFunds  
Virginia Stringer, Independent Chairman, First American Funds  
Michael Scofield, Independent Chairman, Evergreen Funds  
Samuel Hayes, Independent Chairman, Eaton Vance Funds

**Small Board Trustee Of The Year Nominees**

Clipper Fund Independent Directors- Norman Williamson, Independent Chairman, F. Otis Booth and Lawrence McNamee  
Thomas Christopher, Independent Trustee, Aquila Family of Funds  
Samuel Eisenstat, Independent Chairman, SunAmerica Funds  
Leigh Wilson, Independent Chairman, Victory Funds, Old Mutual Advisor Funds II and Old Mutual Insurance Series Funds  
Cynthia Hargadon, Independent Trustee, Allmerica Investment Trust and Wilshire Mutual Funds

**Lifetime Achievement Award Winner**

Alan Richards, Lead Independent Trustee, Pacific Select Funds and Pacific Funds

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Senior representatives from the following companies attended the 12<sup>th</sup> Annual Mutual Fund Industry Awards, held on March 9, 2005 in New York City.

Aberdeen Asset Management, Inc.	Lippincott Mercer
ABN AMRO	Lockwood
Active Investment Advisors	Loomis, Sayles & Company
ADP	Management Practice Inc.
Adventus	Mayer, Brown, Rowe and Maw LLP
AIG Retirement Services	Mercury Advisors A Division of Merrill Lynch
American Century Investments	Merrill Lynch
AMEX ETF Services	Merrill Lynch Investment Managers
Aquila Investment Management	MFS Investment Management
Ariel Capital Management, LLC	Mitchell & Titus
Barclays Global Investors	Mondrian Investment Partners
BISYS Fund Services	Morgan Stanley
BlackRock	Morningstar
Brinker Capital	Munder Capital Management
Brown Brothers Harriman	New York Life Investment Management LLC
Carter Ledyard & Milburn LLP	Oppenheimer Funds
Causeway Capital Management LLC	Penza Investment Management
Chicago Urban League	PFPC
Citigroup Asset Management	PricewaterhouseCoopers
D.A. Kreuter Associates, Inc.	Putnam Investments
Dechert LLP	Pzena Investment Management, LLC
Deloitte & Touche LLP	Red Gate Advisors
Dreyfus Corp. – Institutional Services Division	ReFlow Management
Emerald Funds	Reuters
Ernst & Young	Russell Reynolds Associates, Inc.
E*TRADE FINANCIAL	Rydex Investments
ExchangeTradedFunds.com	Securities Exchange Commission
Fidelity Funds	Seyforth, Shaw
Fidelity Investments	SG Constellation, LLC
FOLIOfn, Inc.	Sidley, Austin, Brown, and Wood
Forward Funds	Southeastern Asset Management
Gettysburg College	SportsMark Management Group, Ltd.
Goldman Sachs & Co.	State Street Corporation
Grail Partners, LLC.	Sun Life Financial Distributors Inc.
Hellman & Friedman	The Hartford
Impulsora del Fondo México, sa de cv	The Victory Funds
ING	TowersGroup
Interactive Data Corp	UBS Global Asset Management
Investors Bank and Trust	Victory Capital Management Inc.
IXIS Asset Management Advisors Group	Wall Street Journal
John Hancock Funds, LLC	Wealth Management News Service
Julius Baer Investment Funds	WM Group of Funds
Kramer Levin Naftalis & Frankel LLP	

**For information on sponsoring or attending this year's awards dinner, please contact Tracey Redmond, Awards Director, at +1-212-224-3239, [redmond@iievents.com](mailto:redmond@iievents.com).**

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### SPONSORSHIP OPPORTUNITIES

Awards Dinner and Ceremony Co-Sponsor (non-exclusive)	\$25,000
Exclusive Cocktail Reception Sponsor	\$23,500
Exclusive Entertainment Sponsor	\$20,000
Exclusive Evening Gift Sponsor	\$15,000
Individual Award Category Sponsor	\$10,000
<input type="checkbox"/> Fund Leader of the Year <input type="checkbox"/> Deal of the Year <input type="checkbox"/> Fund Marketer of the Year <input type="checkbox"/> Advertising Campaign of the Year <input type="checkbox"/> Sales Success of the Year <input type="checkbox"/> Trustee of the Year <input type="checkbox"/> Small Board Trustee of the Year <input type="checkbox"/> SMA Player of the Year	
Table Sponsor	\$10,000

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**Awards Dinner and Ceremony Co-Sponsor (non-exclusive)                      \$25,000**

- ◆ 10 seats (1 table) at the awards dinner. Premium location.
- ◆ Recognition as the awards dinner and ceremony sponsor in Institutional Investor's industry-wide, pre-event promotion; includes email campaigns, direct mail campaigns and print and online advertising in II's publications
- ◆ Opportunity for company executive to deliver a greeting at the start of the awards ceremony
- ◆ Opportunity for a company executive to present an award during the award ceremony (Category to be determined in consultation with II's Awards Director)
- ◆ Full page, 4-color ad in the printed awards program with premium positioning
- ◆ Recognition as the awards dinner and ceremony sponsor in the printed awards program and on event signage
- ◆ Verbal recognition as the awards dinner and ceremony sponsor by the awards' MC during the ceremony
- ◆ One-time use of event attendee list for a one-time direct mailing
- ◆ Full-page ads in post-event issues of *Fund Action* and *Fund Direction*, developed by II in consultation with sponsor, congratulating the Awards winners

(This non-exclusive awards dinner and ceremony sponsorship will be shared with ReFlow , at a cost of \$25,000 per company, and includes the above benefits.)

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**Exclusive Cocktail Reception Sponsor**

**\$23,500**

- ◆ 10 seats (1 table) at the awards dinner. Premium location.
- ◆ Recognition as the cocktail reception sponsor in Institutional Investor's industry-wide, pre-event promotion; includes email campaigns, direct mail campaigns and print and online advertising in II's publications
- ◆ Opportunity for a company executive to present an award during the ceremony (Category to be determined in consultation with Awards Director).
- ◆ Full page, 4-color ad in the printed awards program with premium positioning
- ◆ Recognition as the cocktail reception sponsor in the printed awards program and on event signage.
- ◆ Verbal recognition as the cocktail reception sponsor by the awards' MC during the ceremony
- ◆ One-time use of event attendee list for a one-time direct mailing

**Exclusive Entertainment Sponsor**

**\$20,000**

- ◆ 10 seats (1 table) at the awards dinner
- ◆ Recognition as the entertainment sponsor on dinner invitations, pre-event promotional communications and on event's online brochure (with link to sponsor's website)
- ◆ Full page, 4-color ad in the printed awards program
- ◆ Recognition as the entertainment sponsor in the printed awards program and on event signage.
- ◆ Verbal recognition as the entertainment sponsor by the awards' MC during the ceremony

**Exclusive Evening Gift Sponsor**

**\$15,000**

- ◆ 5 seats (1/2 table) at the awards dinner
- ◆ A box of Godiva Chocolates with sponsor's logo on the box ribbon will be placed at each individual table setting OR sponsor may provide their own gift
- ◆ Recognition as the gift sponsor with company logo on dinner invitations, pre-event promotional communications and event's online brochure (with link to sponsor's website)
- ◆ Full page, 4-color ad in the printed awards program
- ◆ Recognition as the gift sponsor in the printed awards program and on event signage.
- ◆ Verbal recognition as the gift sponsor by the awards' MC during the ceremony

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**Individual Award Category Sponsor**

**\$10,000**

- ◆ 5 seats (1/2 table) at the awards dinner.
- ◆ Recognition as the award sponsor with company logo on dinner invitations, pre-event promotional materials and event's online brochure (with link to sponsor's website).
- ◆ Opportunity for company executive to present an award during the ceremonies. (Category to be determined in consultation with Awards Director).
- ◆ Full page, 4-color ad in the program brochure with premium positioning.
- ◆ Recognition as the award category sponsor with company logo in day-of-the-event printed programs and signage.
- ◆ Verbal recognition as the award category sponsor by II MC during ceremonies.

**Table Sponsor**

**\$10,000**

- ◆ 10 seats (1 table) at the awards dinner
- ◆ Recognition as a table sponsor on event's online brochure
- ◆ Recognition as a table sponsor in day-of-the-event printed program

For information on sponsoring or attending this year's awards dinner, please contact Tracey Redmond, Awards Director, at +1-212-224-3239, [tredmond@iievents.com](mailto:tredmond@iievents.com).

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**Sponsorship Reservation Form**  
**Fax completed form to +1 212-224-3811**

**Sponsorship Package** (Please mark the package you wish to contract)

- \_\_\_\_\_ Awards Dinner and Ceremony Sponsor (non-exclusive) Total US\$25,000
- \_\_\_\_\_ Exclusive Cocktail Reception Sponsor Total US\$23,500
- \_\_\_\_\_ Exclusive Entertainment Sponsor Total US\$20,000
- \_\_\_\_\_ Exclusive Evening Gift Sponsor Total US\$15,000
- \_\_\_\_\_ Individual Award Category Sponsor Total US\$10,000
  - Fund Leader of the Year
  - Deal of the Year
  - Fund Marketer of the Year
  - Advertising Campaign of the Year
  - Sales Success of the Year
  - Trustee of the Year
  - Small Board Trustee of the Year
  - SMA Player of the Year
- \_\_\_\_\_ Table Sponsor Total US\$10,000

Sponsoring Company's Name:

\_\_\_\_\_  
*(as it should appear in all published promotional materials)*

Primary Contact Name:

\_\_\_\_\_  
*(the person who will coordinate sponsoring company's attendance at awards)*

Primary Contact Title: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State/Province: \_\_\_\_\_

Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_

Tel: \_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

Authorized signature for payment on reservation: \_\_\_\_\_

Please print name of authorized signatory: \_\_\_\_\_ Date: \_\_\_\_\_

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**Method of Payment:**  Check enclosed  
 Charge the following credit card  Send invoice

<p>Name as it appears on credit card:          _____</p> <p>Credit card #          _____</p> <p>Expiration date: _____</p> <p>Signature of Cardholder:          _____</p>	<p>Send invoice to: (if different from above contact):</p> <p>Contact Name: _____</p> <p>Company Name: _____</p> <p>Address: _____</p> <p>City: _____</p> <p>State/Province: _____</p> <p>Postal Code: _____ Country: _____</p>
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The term of this non-cancelable agreement begins the date that it is signed by both parties and ends upon completion of the event – March 2, 2006.

**Institutional Investor Contact:** Tracey Redmond, Awards Director  
[redmond@iievents.com](mailto:redmond@iievents.com)  
 tel: 212-224-3239, fax: 212-224-3811

Authorized Signature: \_\_\_\_\_

Upon execution of this contract, please email your company logo as a high-resolution file to Ronda DiMasi at [rdimasi@iievents.com](mailto:rdimasi@iievents.com), 212-224-3569.